

Technical Assistance Guidance

TAG-06-2017

Issued Date: **Monday, May 1, 2017**

Subject: Workforce Connections – Policy Revisions & Updates
Administrative Policies
“Audit Process” Revision No. 1

Purpose

To announce publication and effective date of revisions/updates made to Workforce Connections’ (WC) policy **Admin-010-09**. This TAG provides sub-recipients with a helpful tool to improve quality of service, effective management practices and ensure compliance with Federal, State and local regulations.

Background

The final rules codified under Title 2 of the Code of Federal Regulations Part 200 right-sizes the footprint of oversight and Single Audit requirements to strengthen oversight and focus audits where there is a greatest risk of waste fraud and abuse of Federal funds. It improves transparency and accountability by making Single Audit reports available to the public on a web-based approach. The final regulations encourage agencies receiving Federal funds to take a comprehensive and more cooperative approach to audit resolution in order to more conclusively resolve fundamental matters and weaknesses with respect to system of internal controls.

References:

P.L. 113-128 Sec. 184; 20 CFR Part 683.210; State Compliance Policy (SCP) 3.2

With the commitment to improve processes and after further research and assessment of recently established requirements, WC has revised the above mentioned policy to address the following:

The Sub-recipient:

1. Shall upload its audit report(s) onto the **Federal Audit Clearinghouse (FAC)** website **within the earlier of 30 calendar days after receipt of the audit report(s), or no later than nine (9) months after its accounting year end, whichever is earlier.**
FAC website: <https://harvester.census.gov/facweb/>
2. Shall pay to WC within 30 days of demand by WC the full amount of the sub-recipient’s liability, if any, to the State and/or Federal government resulting from any audit exceptions, to the extent such are attributable to the sub-recipient’s failure to perform properly any of its obligations listed on their sub-award agreement.
3. Shall timely and accurately comply with the audit resolution process initiated by WC.

WC will continue to provide technical assistance to support the workforce development system. For more information and/or updates, please contact WC Fiscal department at your earliest convenience. Sub-recipients and their staff are strongly encouraged to carefully read and get familiar with the revised policies since their organization, program or project funded in whole or in part with WIOA funds will be impacted by the new established requirements affecting compliance.

Action: Please share this information with all staff and other interested parties.

WC Policies can be located at: <http://nvworkforceconnections.org>

Technical Assistance: Available upon request.